

Toward a typology of imperative negation The negative first principle

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Introduction

- I. Introduction
- II. Methodological issues
- III. Results
- IV. Conclusion

The issue



• Horn (2001: 450)

"While Neg First is operative in both declarative and imperative contexts, there is a particularly strong motivation for avoiding postverbal negation in directive speech acts (imperatives and their functional equivalents). While a violation of Neg First in [*The woman isn't eating*] might result in temporary confusion, a similar transgression in the context of [*Don't kill him!*] would literally constitute a matter of life and death (*Kill him – oops – not!*)."

• echoing Jespersen (1917: 5-6)

"[The tendency to place the negative first] is still strong in the case of prohibitions, where it is important to make the hearer realize as soon as possible that it is not a permission that is imparted."

Van Olmen (2010: 20)



Table 2. The frequencies of the languages in the sample with pre- or postverbal basic clausal negators and pre- or postverbal negative markers in the prohibitive

	Preverbal NMP	Postverbal NMP	Total
Preverbal BCN	96	12	108
Postverbal BCN	11	48	59
Total	107	60	167

"The findings in Table 2 corroborate the negative-first principle. In the declarative as well as in the prohibitive, the negative marker is found much more often before than after the main verb. The findings do not seem to back the hypothesis that the principle is even stronger in directives."

However, no consideration of ...



- form of the negator
 - in affixes, competition of Neg First with overall cross-linguistic preference for suffixation
 - as pointed out by Dryer (2013a) for standard negation
- reference point of Neg First
 - little evidence for clause-initial interpretation of Neg First (Dahl 2010: 23)
 - but vis-à-vis main verb (Dryer 2013b, as well as Van Olmen 2010) or finite element (Dahl 1979)?
 - or construction-/language-specific reference point (Miestamo 2005: 185)?



However, no consideration of ...

- word order
 - generally, good predictor of the strength of Neg First
 - as shown for standard negation by Dryer (2013b)
- areality
 - postverbal negation known to cluster in particular areas
 - see, for instance, Reesink (2002) and Vossen (2016)



Present objectives

- reanalyze the original data, taking into account
 - form of negator
 - reference point of Neg First
 - word order
 - areality
- to achieve a more accurate assessment of the impact of Neg First on declaratives and imperatives/directives



Methodological issues

- I. Introduction
- II. Methodological issues
- III. Results
- IV. Conclusion



Miestamo's (2005) restricted sample

- contra genealogical bias
 - no more than 1 language per genus
 - i.e. family of languages with an estimated time depth of 3,500-4,000 years
 - see Dryer (2005: 584)
- contra areal and bibliographical bias
 - no co-territorial or adjacent languages from different genera
 - % of languages in sample ~ % of genera in Dryer's (1989) 6 macro-areas
 - coverage of genera in each macro-area randomly reduced to the lowest proportion of bibliographical coverage of genera (in Australia & New Guinea)

Miestamo's (2005) restricted sample



• total of 179 languages

- AFR Khoekhoe, Jul'huan, Yakoma, Diola-Fogny, Yoruba, Degema, Igbo, Ebira, Dogon, Supyire, Ijo (Kolukuma), Bagirmi, Kresh, Ngiti, Lugbara, So, Maasai, Nubian (Dongolese), Murle, Kunama, Maba, Kanuri, Koyraboro Senni, Tera, Masa, Somali, Iraqw, Maale, Arabic (Egyptian)
- EU-AS Basque, Albanian, Armenian (Eastern), Icelandic, Hindi, Finnish, Mansi, Khalkha, Evenki, Nivkh, Japanese, Korean, Godoberi, Lezgian, Brahui
- SEA-O Cantonese, Tibetan (Standard Spoken), Kayah Li (Eastern), Bawm, Meithei, Thai, Jru', Khasi, Khmer, Nicobarese (Car), Khmu, Vietnamese, Seediq, Kambera, Maori, Taba, Paiwan, Chamorro, Tagalog, Tukang Besi, Batak (Karo)
- A-NG Maybrat, Warembori, Sentani, Sko, Arapesh, Imonda, Alamblak, Yimas, Hamtai, Asmat, Kombai, Suena Kombai, Suena, Dani (Lower Grand Valley), Awara, Koiari, Amele, Kobon, Tauya, Una, Inanwatan, Kaki Ae, Yareba, Daga, Nasioi, Lavukaleve, Gooniyandi, Burarra, Maranungku, Garrwa, Wardaman, Maung, Laragia, Warndarang, Nyulnyul, Ngiyambaa, Tiwi, Wambaya, Ungarinjin
- NAM Greenlandic (West), Slave (Hare), Haida, Cree (Plains), Wiyot, Oneida, Yuchi, Koasati, Tonkawa, Kiowa, Nahuatl (Tetelcingo), Comanche, Pima Bajo, Makah, Bella Coola, Shuwsap, Quileute, Kutenai, Klamath, Nez Perce, Wintu, Pomo (Southeastern), Seri, Maricopa, Karok, Wappo, Chumash (Ventureño), Chinantec (Lealao), Mixtec (Chalcatongo), Otomí (Mezquital), Popoloca (San Juan Atzingo), Purépecha, Totonac (Misantla), Zoque (Copainalá), Huave, Mam
- SAM Ika, Pech, Rama, Epena Pedee, Páez, Awa Pit, Cuiba, Tuyuca, Andoke, Betoi, Yaruro, Warao, Sanuma, Waorani, Yagua, Jebero, Shipibo-Konibo, Quechua Imbabura), Jaqaru, Nadëb, Baré, Apalaí, Mekens, Wayampi, Bororo, Canela-Karô, Trumai, Kwazá, Wari', Pirahã (check), Paumarí, Canamarí, Araona, Movima, Mosetén, Chipaya, Pilagá, Mapudungun, Gününe Küne
- C-P Haitian Creole



Comparanda

- standard negation
 - à la Miestamo (2005: 42)
 - construction(s) that a language uses to turn the truth value of a proposition
 p of a verbal declarative main clause into (the closest equivalent of) ~p

Haitian Creole (Hall 1953: 33)

li rété

he stop

'He stopped.'

li pa rété he **NEG** stop 'He didn't stop.'



Comparanda

- imperative/directive negation
 - construction(s) that a language uses to get the addressee(s) to stop or refrain from doing something
 - "opposite" of the imperative à la van der Auwera et al. (2013)

Haitian Creole (Hall 1953: 68-69, 182)

gadé!	ра	krié!	piga	chita!
look	NEG	cry	PROH	sit.down
'Look!'	'Don't o	cry!'	'Don't	sit down!'

Comparanda



restricted to "canonical" cases (see Aikhenvald 2010: 18)

don't go! but not 'let's not go!' or 'don't let's go!'

- including "indirect" constructions (à la Schalley 2007)
 - i.e. primary but non-dedicated way of expressing prohibition
 - because Horn's (1989) hypothesis is about directives, not just imperatives

Warndarang (Maran, Australian; Heath 1980: 84)

gu-gi-ñi-ga

NEG-take-2SG-IRR

'Don't take him!' or 'You will not take him.'





• type 1: negation solely after reference point

Taba (South Halmahera West New Guinea, Austronesian; Bowden 1997: 388)

n-<u>han</u> ak-la **te**

3SG-go ALL-sea NEG

'She's not going seawards.'

Awa Pit (Barbacoan, Barbacoan; Curnow 1997: 247)

na-wa=na	<u>pyaŋta</u> - mun	na-wa	<u>pyan</u> - man	
1SG-ACC=TOP	<u>kill</u> - PROH.SG	1SG-ACC	<u>hit</u> - PROH.PL	
'Don't kill me!'		'Don't hit me!'		





• type 2: negation before or after reference point

Inanwatan (South Bird's Head, Trans New Guinea; De Vries 1996: 108-109)

(náwo) né-<u>se</u>-sa-**aigo**

NEG 1SG-<u>walk</u>-FUT-NEG

'I will not walk.'

Nivkh (isolate; Gruzdeva 2001: 62, 68) <u>ra</u>-**gavr**-ja <u>drink</u>-**NEG**-IMP.SG 'Don't drink!' 'Don't drink!'





• type 3: negation before (and, possibly, after) reference point

Asmat (Asmat-Kamaro, Trans New Guinea; Voorhoeve 1966: 127)

mó-por pák <u>em</u>-óf INT-see **NEG** <u>do</u>-MDPST.1SG.3SG 'I didn't see it.'

Amele (isolate; Roberts 2016: 103) *wa=na cain* <u>*n*-ag-*aun*</u> water=in **PROH** <u>go.down</u>-2SG-**NEG.FUT** 'Don't go down into the river!' types 1.5 and 2.5? – only a few cases, so just classified as 2 here

Yagua (Peba-Yaguan, Peba-Yaguan; Payne & Payne 1990: 314, 318) néé sa-tuvy-su=**tya** sa-imu ray-jimyiy-ruuy 3SG-ear-VBZ=**NEG** 3SG-LOC **NEG** 1SG-eat-POT 'He didn't pay attention to him.'

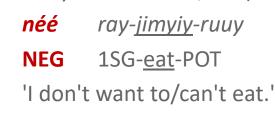
Koiari (Koiarian, Trans New Guinea; Dutton 1996: 56) (**Enagi**) <u>gurami</u>-**hama**!

PROH sit.down-**PROH.SG**

'Don't sit down!'

enagi usually dropped







Typology



Typology

• not applicable (NA) type

Evenki (Tungus, Tungus; Nedjakov 1997: ex. 97)

- tala <u>e</u>-kel girku-ra
- there **<u>NEG.AUX</u>**-IMP.2SG go-PTCP

'Don't go there!'

Ungarinjin (Wororan, Australian; Rumsey 1982: 101) *njuna-wa-ŋulu-yiri* F-**2PL.IRR**-give.to-CONT 'Don't you people give to her!'



- reference point of Neg First
 - values: main verb/predicate or finite element (FE)
 - Miestamo (2005: 74)

"There is no hard and fast cross-linguistically applicable definition of finiteness. ... Syntactically, finite verbs can act as the only predicate of independent clauses, whereas non-finites usually cannot. Morphologically, ... these syntactically dependent verbs may show deverbalization (reduced marking of verbal categories such as tense, aspect, mood and pronominal agreement as compared to finite verbs) and/or nominalization (acquisition of nominal categories such as case). ... The exact morphosyntactic characteristics of finiteness are[, however,] specific to individual languages."





 not always easy as, cross-linguistically, "imperatives have little inflectional morphology" (Nikolaeva 2007: 139) (see also Aikhenvald 2010: 89)

Evenki (Tungus, Tungus; Nedjakov 1997: ex. 97)

tala **e-kel** girku-**ra**

there **NEG.AUX-IMP.2SG** go-**PTCP**

'Don't go there!'

Vietnamese (Viet-Muong, Austronesian; Thompson 1965: 221)

uôngruouchóuôngruoukhônguôngruoudrinkalcoholPROHdrinkalcoholNEGdrinkalcohol'Drinkalcohol!''Don'tdrinkalcohol!''I/you/... are notdrinkingalcohol.'



- macro-area
 - based on Dryer (1989)
 - values
 - Africa (AFR): 29 languages
 - Eurasia (EU-A): 15 languages
 - South East Asia & Oceania (SEA-O): 21 languages
 - Australia & New Guinea (A-NG): 38 languages
 - North America (NAM): 36 languages
 - South America (SAM): 39 languages
 - (Creoles & Pidgins (C-P)): 1 language



- word order
 - values: OV, VO and OV/VO
 - separate for standard negation and imperative negation, as a matter of principle ...

"In Sare, a Sepik Hill language from Papua New Guinea ..., an AVO order in imperatives is contrasted to AOV order in declarative clauses." (Aikhenvald 2010: 115)

"Though constituent order is typically discourse-based and fairly flexible in Zenzontepec Chatino ... [i.e. OV/VO], it is firmly fixed in imperatives as VS/VAO." (Campbell 2017: 124)





Zenzontepec Chatino (Zapotecan, Oto-Manguean; Campbell 2017: 129)

- ná k-u-lā+tē?é=wą lyo?o=wą
- NEG POT-CAUS-let.go+be.located=2PL spouse=2PL

'Don't abandon your wives!'

- … in practice, however
 - little variation between standard and imperative negation
 - and/or insufficient information in grammars about word order in imperatives
 - often assumptions here based on limited data



- form of negation
 - values based on Dahl (1997) and Dryer (2013a)

• tone

Degema (Edoid, Niger-Congo; Miestamo 2005: 272)*ɔ-sóōlɔ'-sol*3SG-jump.FACT3SG.NEG-jump'(S)he jumped.''(S)he didn't jump.'



• affix

Maale (Omotic, Afro-Asiatic; Amha 2001: 229) *dend-íppo* go-**2SG.PROH**

'Don't go!'

• clitic

Awara (Finisterre-Huon, Trans New Guinea, Quigley 2002: 106)ma=i-ni-ketang-u-yo

PROH=3SG-tell-SS.PFV 3SG-hit-2SG.DEFAULT.IMP

'Don't scold and hit him!'



• particle

Skou (Skou, Western Skou; Donohue 2004: 263)

kemè=m-àpe-peka3SG.NF2SG=2SG-judge-REDNEG'Don't judge him!'

• verb

Evenki (Tungus, Tungus; Nedjakov 1997: ex. 97)

- tala **e**-kel girku-ra
- there **NEG.AUX**-IMP.2SG go-PTCP

'Don't go there!'



• noun

Nadëb (Nadahup, Nadahup; Weir 1994: 295)

doohkalapééa-ódNEG[be.nonexistent.NMLZ]childPFX-cry.NIND'The child is not crying.'

• NA

Ungarinjin (Wororan, Australian; Rumsey 1982: 101) njuna-wa-nulu-yiri

- F-2PL.IRR-give.to-CONT
- 'Don't you people give to her!'



- three issues

• languages with more than one form of negation

Yimas (Nor-Pondo, Sepik-Ramu; Foley 1991: 251, 276)apu-tmi-nc-mpwima-mpwima-mpwitmi-kpackPROH-talk-PRS-talkother-talkother-talktalk-IRRPROH'Don't talk anymore!''Don't talk anymore!''Don't talk anymore!'

 \Rightarrow treated and counted separately





singular versus double negation

Daga (Dagan, Trans New Guinea; Murane 1974: 56)

- ya war-an-e
- **NEG** get-2PL.IMP-**PROH**

'Don't get it!

 \Rightarrow singular to be compared to double (mainly to see distribution in SN and IN)

- \Rightarrow optional double negation treated as singular AND double
- \Rightarrow for global counts, negators treated separately





unclear status of form of negation

Ju|'huan (Northern Khoisan, Khoisan; Snyman 1969: 135)(N/a)[/eu]n!o'ãg!'eiPROH[leave]wellhurlstick

'(Don't) hurl the stick [well]!'

 \Rightarrow classified as "hybrid" here \Rightarrow i.e. particle/verb for Ju|'huan



Results

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With MV as reference point In general

• distribution of languages

	Туре 1	Туре 2	Туре 3	Type NA
SN	54 (30.17%)	24 (13.41%)	101 (56.42%)	0
IN	58 (32.77%)	8 (4.52%)	111 (62.71%)	2

- types 2 and 3 > type 1 in SN and IN \rightarrow Neg First at work in both
- significantly different distribution, though ($\chi^2 = 8.60$, p < 0.05)
- but mainly due to fewer languages of type 2 in IN
- as a result of more variation in negative constructions in SN
- proportion of type 1 languages almost identical in SN and IN



With MV as reference point In general

- overall strength of Neg First
 - SN: μ 2.26, σ 0.90
 - IN: μ 2.30, σ 0.93
 - no significant difference (p > 0.05 according to t-test)
- so, at a general level, limited evidence for Neg First being stronger in imperatives than in declaratives (with MV as its reference point)



With MV as reference point Macro-area

Macro-area	Negation	μ	σ	Туре 1	Туре 2	Туре З	Type NA
AFR	SN	1.97	0.87	11 (37.93%)	8 (27.59%)	10 (34.48%)	0
	IN	2.24	0.95	10 (34.48%)	2 (6.90%)	17 (58.62%)	0
EU-A	SN	2.13	0.92	5 (33.33%)	3 (20%)	7 (46.67%)	0
	IN	2.20	0.94	5 (33.33%)	2 (13.33%)	8 (53.33%)	0
SEA-O	SN	2.52	0.87	5 (23.871%)	0 (0.00%)	16 (76.19%)	0
	IN	2.62	0.80	4 (19.05%)	0 (0.00%)	17 (80.95%)	0
A-NG	SN	2.42	0.83	8 (21.05%)	6 (15.79%)	24 (63.16%)	0
	IN	2.35	0.92	11 (29.73%)	2 (5.41%)	24 (64.86%)	1
NAM	SN	2.69	0.71	5 (13.86%)	1 (2.78%)	30 (83.33%)	0
	IN	2.75	0.65	4 (11.11%)	1 (2.78%)	31 (86.11%)	0
SAM	SN	1.82	0.91	20 (51.28)	6 (15.38%)	13 (33.33%)	0
	IN	1.71	0.96	24 (63.16%)	1 (2.63%)	13 (34.21%)	1



With MV as reference point Macro-area

- no significant differences between Neg First in SN and in IN in any area
 - neither in terms of the distribution of languages (p > 0.05 for all χ^2 tests)
 - nor in terms of the overall strength of Neg First (p > 0.05 for all t-tests)
- but substantial differences (p < 0.01 after Bonferroni correction)
 - overall strength of Neg First
 - in SN: NAM, SEA-O & A-NG > SAM and NAM > AFR
 - in IN: NAM, SEA-O & A-NG > SAM
 - distribution of languages
 - in SN: NAM & SEA-O > SAM & AFR (con.) and NAM & A-NG > SAM (prog.)
 - in IN: NAM & SEA-O > SAM (con.) and NAM, SEA-O & A-NG > SAM (prog.)

postverbal SN & IN NEG in SAM & AFR



With MV as reference point Word order

Word order	Negation	μ	σ	Туре 1	Туре 2	Туре З	Туре NA
OV	SN	1.93	0.91	40 (44.94%)	15 (16.85%)	34 (38.20%)	0
	IN	2.00	0.98	41 (47.13%)	5 (5.75%)	41 (47.13%)	1
VO	SN	2.63	0.73	10 (14.29%)	6 (8.57%)	54 (77.14%)	0
	IN	2.62	0.78	13 (17.81%)	2 (2.74%)	58 (79.45%)	1
OV VO	SN	2.45	0.83	4 (20.00%)	3 (15.00%)	13 (65.00%)	0
	IN	2.47	0.87	4 (23.53%)	1 (5.88%)	12 (70.59%)	0

 again, no significant differences at all between Neg First in SN and Neg First in IN



With MV as reference point Word order

- but in both SN and IN, word order affects Neg First
 - i.e. VO > OV | VO > OV in
 - distribution of languages
 - overall strength of Neg First
 - with significant differences (p < 0.017 after Bonferroni correction) between
 VO and OV in all cases
- what is the relationship between Neg First, word order and macro-areas?



With MV as reference point Word order and macro-area

- low absolute numbers but still...
- SN: NAM, SEA-O & A-NG > SAM for Neg First
 - SEA-O: 85.71% = VO and 16 of those 18 languages = Type 3
 - A-NG: 71.05% = OV but 16 of those 27 languages = Type 3!
 - NAM: 36.11% = OV but 8 of those 13 languages = Type 3!
 - SAM: 58.97% = OV and 14 of those 23 languages = Type 1
- similar figures for IN



With MV as reference point Form of negation

• singular versus double negation

	Singular	Double	NA
SN	197 (85.65%)	33 (14.35%)	0
IN	165 (87.30%)	24 (12.70%)	2

- no differences between
 SN and IN
- unsurprisingly, Neg First stronger in double neg.

	Negation	μ	σ	Туре 1	Туре 2	Туре 3
Singular	SN	2.14	0.98	83 (42.13%)	4 (2.03%)	110 (55.84%)
	IM	2.19	0.98	66 (40.00%)	1 (0.61%)	98 (59.39%)
Double	SN	2.91	0.38	1 (3.03%)	1 (3.03%)	31 (93.94%)
	IM	2.82	0.59	2 (8.33%)	0 (0.00%)	22 (91.67%)



With MV as reference point Form of negation

• form of SN and IN negators

	SN	IN
tone	3 (1.22%)	2 (0.95%)
affix	90 (36.59%)	80 (38.10%)
clitic	7 (2.85%)	4 (1.90%)
clitic/particle	1 (0.41%)	1 (0.48%)
particle	112 (45.53%)	102 (48.57%)
particle/verb	13 (5.28%)	6 (2.86%)
verb	19 (7.72%)	15 (7.14%)
noun	1 (0.41%)	0 (0.00%)
NA	0	2

no differences between SN and IN



With MV as reference point Form of negation

• form of SN and IN negators and Neg First

Form	Negation	μ	σ	Type 1	Type 2	Туре З
affix	SN	1.56	0.89	64 (71.11%)	2 (2.22%)	24 (26.67%)
	IN	1.59	0.91	56 (70.00%)	1 (1.25%)	23 (28.75%)
particle	SN	2.49	0.85	26 (23.21%)	5 (4.46%)	81 (72.32%)
	IN	2.49	0.86	25 (24.51%)	2 (1.96%)	75 (73.53%)
verb	SN	2.26	0.99	7 (36.84%)	0 (0.00%)	12 (63.16%)
	IN	2.73	0.70	2 (13.33%)	0 (0.00%)	13 (86.67%)

- no significant differences between SN and IN
- but in both SN and IN: Neg First in particles and verbs > Neg First in affixes



With MV as reference point Form of negation and word order

Word order	Negation	Affix	Particle	Verb
OV	SN	60 (50.00%)	51 (42.50%)	9 (7.50%)
	IN	54 (51.92%)	45 (43.27%)	5 (4.81%)
VO	SN	20 (25.64%)	49 (62.82%)	9 (11.54%)
	IN	18(25.53%)	44 (61.97%)	9 (12.68%)
ov vo	SN	9 (40.91%)	12 (54.55%)	1 (4.55%)
	IN	8 (36.36%)	13 (59.09%)	1 (4.55%)

- Neg First in VO > Neg First in OV Neg First in particles > Neg First in affixes
- % of particles in VO > % particles in OV



With MV as reference point Form of negation and macro-area

- low absolute numbers but still...
- SN: NAM, SEA-O & A-NG > SAM for Neg First
 - SEA-O: particles 5x > affixes (~ 85.71% = VO)
 - A-NG: particles $2.5x > affixes (\leftrightarrow 71.05\% = OV)$
 - NAM: particles \approx affixes
 - SAM: affixes 2.2x > particles (~ 58.97% = OV)
- similar figures for IN



With FE as reference point In a nutshell

- versus Neg First with MV as reference point
 - no substantial differences
 - except for higher numbers of Type NA languages
- no significant differences in Neg First between SN and IN
- roughly similar results regarding Neg First & ...
 - macro-areas
 - word order
 - forms of negation



Conclusion

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Main findings In general

- Neg First at work in SN and IN
- macro-area: strongest in NAM, SEA-O and A-NG; weakest in SAM and AFR
 - ~ work by, for instance, Güldemann (2007), Reesink (2002) and Vossen (2016)
- word order: stronger in VO than in OV
 - ~ Dryer's (2013) relevant chapters in WALS
- form of negation: stronger in particles than in affixes
 - ~ well-known preference for suffixation to prefixation
- no real differences between MV and FE as reference points



Main findings SN vs IN

- no substantial differences in
 - singular versus double negation
 - forms of negation
 - or Neg First
- languages like Popoloca (Popolocan, Oto-Manguean; Kalstrom Dolson et al. 1995: 354)

cui-hyach'áncome.PRET-NEG3SG'He didn't come.'

séchró-cjuiacjínPROH-go.PRETfar'Don't go far!'



Main findings SN vs IN

- ... but also languages like Una (Mek, Trans New Guinea; Louwerse 1988: 88-89)

a-nyi ni kum bi-ngnun that-person 1SG **NEG** *know*-1SG.CONT 'I don't know that person.'

uram e-na mem talk speak-INF PROH 'Don't talk!'



Why is Neg First not stronger in IN than in SN?

- Horn's (2001: 450) hypothesis
 - using the example of kill him oops not!
 - centers around possible confusion between positive and negative imperatives

mem

however, in Una (Louwerse 1988: 36), for instance, no such confusion occurs ۲

eb-**rum** uram e-na speak-INF PROH speak-IM.IMP.2SG talk 'Speak!' 'Don't talk!'



- languages with different verb forms in positive and negative imperatives
 - 40.40% in van der Auwera & Lejeune's (2013) large convenience sample
 - 46.91% in our sample
- yet, no differences in Neg First between [+ IMP verb] and [- IMP verb] languages either
- SO...
 - other ways of knowing "beforehand" whether imperatives are going to be negative or positive (e.g. intonation, context)?
 - or simply no difference in need for Neg First between declaratives and imperatives?



Thank you for your attention!

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